# First Fundamental Theorem of Invariant Theory for covariants of classical groups.

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**Abstract.** Let U(G) be a maximal unipotent subgroup of one of classical groups G = GL(V), O(V), Sp(V). Let W be a direct sum of copies of V and its dual  $V^*$ . For the natural action U(G):W, we describe a minimal system of homogeneous generators for the algebra of U(G)-invariant regular functions on W. For G = GL(V), we also describe the syzygies among these generators in some particular cases.

## 1 Main theorem.

Let V be a finite-dimensional vector space over an algebraically closed field  $\mathbf{k}$  of characteristic zero. Let  $H \subseteq GL(V)$  be an algebraic subgroup. For any  $l \in \mathbf{N}$ , we denote by lV the direct sum of l copies of V; similarly, we define  $mV^*$  for any  $m \in \mathbf{N}$ . Consider the natural action of H on  $W = lV \oplus mV^*$  and assume that the algebra  $\mathbf{k}[W]^H$  of invariants is finitely generated for any l, m. Then First Fundamental Theorem of Invariant Theory of H refers to a description of a minimal system of homogeneous generators of  $\mathbf{k}[W]^H$  for all l, m.

Such a description exists when H is classical, i.e., H is one of groups GL(V), SL(V), O(V), SO(V), Sp(V) (see e.g. [PV,  $\S 9$ ]).

Let now G be one of the groups GL(V), O(V), Sp(V); let U(G) be a maximal unipotent subgroup of G. By [PV, Theorem 3.13], the algebra  $\mathbf{k}[W]^{U(G)}$  is finitely generated. Also the invariants of U(G) are linear combinations of highest vectors of irreducible factors for G-module  $\mathbf{k}[W]$ . So the U(G)-invariants are the G-covariants and the First Fundamental Theorem for covariants of G means that for the invariants of G means that for the invariants of G means

Using a result (and some ideas) of [Ho], we prove in this paper First Fundamental Theorem for covariants of each of the above classical G.

Note that for G = Sp(V), O(V), V and  $V^*$  are isomorphic as G-modules, hence, as U(G)-modules. Therefore we may assume m = 0 in these cases.

Elements of  $\wedge^k V^* \subseteq \otimes^k V^* \subseteq \mathbf{k}[kV]$ ,  $k \leq \dim V$  are said to be multilinear anisymmetric functions as well as their analogs in  $\mathbf{k}[kV^*]$ .

**Theorem 1** The algebra  $\mathbf{k}[W]^{U(G)}$  is generated by the subalgebra  $\mathbf{k}[W]^G$  and multilinear antisymmetric invariants. Moreover, a set  $\mathcal{M}$  described below is a minimal system of homogeneous generators of  $\mathbf{k}[W]^{U(G)}$ .

We describe a minimal system  $\mathcal{M}$  of homogeneous generators of  $\mathbf{k}[W]^{U(G)}$  in a coordinate form. Set  $n=\dim V$ , choose a basis of V and denote by  $\overline{V}$  the corresponding  $n\times l$ -matrix of coordinates on lV. Similarly, denote by  $\overline{V^*}$  the  $m\times n$ -matrix of coordinates on  $mV^*$ , in the dual basis of  $V^*$ . A minor of order k of a matrix is said to be left, if it involves the first k columns. Analogeously, we call it lower, if it involves the last k rows.

- A) Let G = GL(V) and define U(GL) = U(GL(V)) to be the subgroup of the strictly upper triangular matrices, in the above basis. Then  $\mathcal{M}$  is:
- the matrix elements of the product  $\overline{V^*V}$
- the lower minor determinants of order k of  $\overline{V}$ ,  $k = 1, \dots, \min\{l, n\}$ .
- the left minor determinants of order p of  $\overline{V^*}$ ,  $p = 1, \dots, \min\{m, n\}$ .

Let T(GL) be the diagonal matrices in the above basis. Then T(GL) is a maximal torus of G normalizing U(GL). The pair T(GL), U(GL) defines a system of simple roots of T(GL). Here and in what follows, we use the enumeration of simple roots of simple groups as in [OV] and denote by  $\varphi_1, \dots, \varphi_n$  the fundamental weights. The torus T(GL) acts on  $\mathbf{k}[W]^{U(GL)}$  and the elements of  $\mathcal{M}$  are weight vectors of T(GL). The set of their degrees and weights is (for  $l, m \geq n$ ):

$$(2,0), (1,\varphi_1), (2,\varphi_2), \cdots, (n,\varphi_n),$$
  
 $(1,\varphi_{n-1}-\varphi_n), (2,\varphi_{n-2}-\varphi_n), \cdots, (n-1,\varphi_1-\varphi_n), (n,-\varphi_n).$ 

Furthermore, let Q be a bilinear symmetric (antisymmetric) form having in the above basis a matrix with  $\pm 1$  on the secondary diagonal and with zero entries outside it. Define G = O(V) (G = Sp(V)) to be the stabilizer of this form. Then  $U(G) = G \cap U(GL)$  is a maximal unipotent subgroup in G. Moreover, set  $T(O) = T(GL) \cap SO(V)$ ,  $T(Sp) = T(GL) \cap Sp(V)$ . Then T(G) is a maximal torus of G of rank  $r = \left[\frac{n}{2}\right]$ . Denote by  $\varphi_1, \dots, \varphi_r$  the fundamental weights of T(G) with respect to U(G). For  $x \in W = lV$ , denote by  $v_i$  the projections of x on the i-th V-factor,  $i = 1, \dots, l$ .

- B) Let n = 2r + 1, G = O(V). Then  $\mathcal{M}$  is:
- $\bullet \ Q(v_i, v_j), 1 \le i \le j \le l,$
- the lower minor determinants of order k of  $\overline{V}$ ,  $k=1,\cdots,\min\{l,n\}$ , The set of degrees and weights of the above generators is (for  $l \geq n$ ):

$$(2,0),(1,\varphi_1),\cdots,(r-1,\varphi_{r-1}),(r,2\varphi_r),(r+1,2\varphi_r),\cdots,(n-1,\varphi_1),(n,0).$$

- C) Let G = Sp(V). Then  $\mathcal{M}$  is:
- $Q(v_i, v_j), 1 \le i < j \le l$ ,
- the lower minor determinants of order k of  $\overline{V}$ ,  $k = 1, \dots, \min\{l, r\}$ . The set of degrees and weights of the above generators is (for l > r):

$$(2,0), (1,\varphi_1), (2,\varphi_2), \cdots, (r,\varphi_r).$$

Note that the lower minor determinants of order k of  $\overline{V}$  with k>r are U(Sp)-invariant, too. It is not hard to check that these can be expressed in the above generators.

- D) Let n = 2r, G = O(V). Then  $\mathcal{M}$  is:
- $Q(v_i, v_j), 1 \leq i \leq j \leq l$ ,
- the lower minor determinants of order k of  $\overline{V}$ ,  $k = 1, \dots, \min\{l, n\}$ ,
- for  $l \geq r$ , the minor determinants of order r, involving the r-th row and the last r-1 rows of  $\overline{V}$ .

The set of degrees and weights of the above generators is (for  $l \ge n$ ):

$$(2,0), (1,\varphi_1), \cdots, (r-2,\varphi_{r-2}), (r-1,\varphi_{r-1}+\varphi_r), (r,2\varphi_{r-1}), (r,2\varphi_r),$$
  
 $(r+1,\varphi_{r-1}+\varphi_r), \cdots, (n-1,\varphi_1), (n,0).$ 

### 2 Proof of Theorem 1.

First we state a result of [Ho] that is a starting point of our proof. We keep the notation of loc.cit. but consider a slightly more general setting.

Let W be a finite dimensional **k**-vector space. Denote by

$$\mathfrak{gr} = \mathfrak{gr}_{(2,0)} \oplus \mathfrak{gr}_{(1,1)} \oplus \mathfrak{gr}_{(0,2)} \subseteq \operatorname{End}\mathbf{k}[W]$$

the linear subspace of differential operators with the prescribed by the index degree and order. Namely,  $\mathfrak{gr}_{(2,0)}$  are the homogeneous regular functions on W of degree 2 acting on  $\mathbf{k}[W]$  by multiplication;  $\mathfrak{gr}_{(0,2)}$  are the constant coefficients differential operators of order 2;  $\mathfrak{gr}_{(1,1)}$  is nothing but the Lie algebra  $\mathfrak{gl}(W)$ .

Clearly,  $\mathfrak{gr}$  is a Lie subalgebra in  $\operatorname{\acute{E}ndk}[W]$ , and moreover,  $\mathfrak{gr}$  is isomorphic to  $\mathfrak{sp}(W \oplus W^*)$ , with respect to the natural symplectic form on  $W \oplus W^*$ .

Assume now that  $G \subseteq GL(W)$  is a reductive subgroup. Then G acts on  $\mathfrak{gr}$ ; consider the invariants:

$$\Gamma' = \mathfrak{gr}^G, \Gamma'_{(2,0)} = \mathfrak{gr}^G_{(2,0)}, \Gamma'_{(1,1)} = \mathfrak{gr}^G_{(1,1)}, \Gamma'_{(0,2)} = \mathfrak{gr}^G_{(0,2)}.$$

Clearly,  $\Gamma' = \Gamma'_{(2,0)} \oplus \Gamma'_{(1,1)} \oplus \Gamma'_{(0,2)}$  is also a Lie subalgebra in  $\operatorname{End} \mathbf{k}[W]$ .

Let  $\mathbf{k}[W] = \bigoplus_{k=1}^{\infty} I_k$  be the decomposition of G-module  $\mathbf{k}[W]$  into isotypic components. Let I be one of  $I_k$ . Clearly, I is stable under the action of  $\Gamma'$ .

**Theorem 2** ( [Ho, Theorem 8]) Assume that the algebra  $\mathbf{k}[W \oplus W^*]^G$  of invariants is generated by elements of degree 2. Then I is an irreducible joint  $(G, \Gamma')$ -module.

By the First Fundamental Theorem for the classical groups, the assumption of Theorem 2 holds for the pairs (G, W) from section 1. For these particular cases the above Theorem is (a part of) Theorem 8 of [Ho]. However, one can see that the proof in loc.cit. works whenever the assumption of Theorem 2 holds.

Note that for the classical (G, W) we have:  $\Gamma'_{(1,1)} = \mathfrak{gl}_l \oplus \mathfrak{gl}_m$ ,

$$\Gamma' \cong \mathfrak{gl}_{l+m}$$
, if  $G = GL(V), \Gamma' \cong \mathfrak{sp}_{2l}$ , if  $G = O(V), \Gamma' \cong \mathfrak{o}_{2l}$ , if  $G = Sp(V)$ .

We now show that Theorem 2 reduces Theorem 1 to a more simple statement. The below reasoning is an analog of that from the proof of Theorem 9 in loc.cit.

Clearly, I is a homogeneous submodule of  $\mathbf{k}[W]$ ; denote by  $I^{min}$  the subspace of the elements of I of minimal degree. Let  $A \subseteq \mathbf{k}[W]^U$  be the subalgebra generated by  $\mathcal{M}$ . Let  $Z \subseteq \mathbf{k}[W]$  be the G-submodule generated by A. Then Theorem 1 can be reformulated as follows:  $Z = \mathbf{k}[W]$ . Assume that  $X = Z \cap I^{min}$  is nonzero.

Since the system  $\mathcal{M}$  of generators of A is symmetric with respect to permutations of isomorphic G-factors of W, A is  $GL_l \times GL_m$ -stable, i.e.,  $\Gamma'_{(1,1)}$ -stable. Hence, Z and X are stable with respect to both G and  $\Gamma'_{(1,1)}$ .

Let R, R<sub>(2,0)</sub> etc. be the subalgebras in End**k**[W] generated by  $\Gamma'$ ,  $\Gamma'$ <sub>(2,0)</sub> etc. Consider R as a representation of the universal enveloping algebra of  $\Gamma'$ . Using the PBW theorem, we obtain

(1) 
$$R = R_{(2,0)}R_{(1,1)}R_{(0,2)}.$$

Differentiating a polynomial, we decrease its degree; hence,  $\Gamma'_{(0,2)}I^{min}=0$ . Therefore  $R_{(0,2)}X=X$ . Moreover, since X is  $\Gamma'_{(1,1)}$ -stable, we have by (1):  $RX=R_{(2,0)}X=\mathbf{k}[W]^GX$ . On the other hand, RX is a non-zero joint  $(G,\Gamma')$ -submodule of I. By Theorem 2,  $I=RX=\mathbf{k}[W]^GX\subseteq Z$ .

Thus to prove Theorem 1, we need to check for any isotypic component I:

$$(2) A \cap I^{min} \neq \{0\}.$$

Note that it is sufficient to prove Theorem 1 with  $l, m \geq n$ , in the case G = GL(V), and with  $l \geq n, m = 0$ , in the case G = O(V), Sp(V).

Denote by  $G^0$  the connected component of the unity of G; GL(V) and Sp(V) are connected, but for G = O(V),  $G^0 = SO(V)$ . Recall that the irreducible finite dimensional  $G^0$ -modules are in one-to-one correspondence with their highest weights with respect to U(G) and T(G). Denote by P the set of highest weights of irreducible factors for  $G^0$ -module  $\mathbf{k}[W]$ . For any graded algebra B and  $t \in \mathbf{N}$ ,

we denote by  $B_t$  the subspace of the elements of degree t. For any  $\chi \in P$  we set:

 $R(\chi)$  is the irreducible representation of  $G^0$  with highest weight  $\chi$ 

 $I_{\chi}$  is the  $R(\chi)$ -isotypic component of  $G^0$ -module  $\mathbf{k}[W]$ 

 $m(\chi) = \min\{t | \mathbf{k}[W]_t \cap I_\chi \neq 0\}.$ 

 $n(\chi) = \min\{t | A_t \cap I_\chi \neq 0\}.$ 

By definition,  $n(\chi) \geq m(\chi)$ . For G = GL(V), Sp(V) the condition (2) is equivalent to  $n(\chi) = m(\chi)$  for any  $\chi \in P$ .

**Lemma 1** For any  $\chi \in P, c \in \mathbb{N}$  we have:  $n(c\chi) = cn(\chi)$ .

Denote by  $\mathfrak{t}$  the Lie algebra of T(G). Let  $\mathcal{C} \subseteq \mathfrak{t}^*$  be the Weyl chamber corresponding to U(G). Consider the set

$$\Delta = \{ \frac{\chi^*}{t} | I(\chi) \cap \mathbf{k}[W]_t \neq 0 \} \subseteq \mathcal{C},$$

where  $\chi^*$  denotes the highest weight of the  $G^0$ -module dual to that with highest weight  $\chi$ . By [Br87], if **k** is the field **C** of complex numbers, then  $\Delta$  is the set of rational points in the momentum polytope for the action of the maximal compact subgroup  $K \subseteq G^0$  on the projective space  $\mathbf{P}(W)$ . Further, we set:

$$\widetilde{\Delta} = \{ \frac{\chi^*}{t} | I(\chi) \cap Z_t \neq 0 \} \subseteq \Delta.$$

Let now  $\Phi \subseteq \mathfrak{t}^*$  be the convex hull over the rational numbers of the weights for the action T(G):W.

Lemma 2  $\widetilde{\Delta} \supseteq \Phi \cap \mathcal{C}$ .

By definition, we have:  $\Delta \subseteq \Phi \cap \mathcal{C}$ . Therefore  $\Delta = \widetilde{\Delta} = \Phi \cap \mathcal{C}^{-1}$ .

Suppose that  $\mathbf{k}[W]^{U(G)}$  contains an element of degree t and weight  $\chi$ . Then by definition,  $\frac{\chi^*}{t} \in \Delta$ . Hence, the equality  $\Delta = \widetilde{\Delta}$  implies that for some  $c \in \mathbf{N}$  there exists an element of A of degree ct and weight  $c\chi$ . Thus  $ct \geq n(c\chi) = cn(\chi)$  and  $t \geq n(\chi)$ . In other words,  $m(\chi) \geq n(\chi)$ , hence  $m(\chi) = n(\chi)$ . This completes (modulo Lemmas 1 and 2) the proof of Theorem for G = GL(V), Sp(V).

Let G be O(V); to prove Theorem, we apply induction on  $n = \dim V$ .

For n = 2, U(O) is trivial and one can see  $A = \mathbf{k}[W]$ .

For n=3,  $(SO_3, \mathbf{k}^3) \cong (SL_2, S^2\mathbf{k}^2)$ . Since the stabilizer of a point on the dense orbit for the action  $SL_2: \mathbf{k}^2$  is a maximal unipotent subgroup in  $SL_2$ , we obtain an isomorphism:

$$\mathbf{k}[\mathbf{k}^2 + l\mathbf{k}^3]^{SL_2} \cong \mathbf{k}[W]^{U(O)}.$$

For  $\mathbf{k} = \mathbf{C}$ , one can directly prove for the moment polytope  $\Delta \otimes \mathbf{R} = (\Phi \otimes \mathbf{R}) \cap \mathcal{C}$ .

**Lemma 3** There exists an isomorphism  $\mathbf{k}[\mathbf{k}^2 + l\mathbf{k}^3]^{SL_2} \cong \mathbf{k}[(l+1)\mathbf{k}^3]^{SO_3}/(d)$ , where  $d = Q(v_{l+1}, v_{l+1})$ .

*Proof:* Consider the morphism

$$\varphi: \mathbf{k}^2 + l\mathbf{k}^3 \to (l+1)\mathbf{k}^3, \varphi(e, Q_1, \cdots, Q_l) = (Q_1, \cdots, Q_l, e^2).$$

Clearly,  $\varphi$  is  $SL_2$ -equivariant; moreover,  $\varphi$  is the quotient map with respect to the center of  $SL_2$ . Furthermore, the image of  $\varphi$  is the zero level of d. This completes the proof.  $\square$ 

Using Lemma 3 and the well-known description of  $\mathbf{k}[(l+1)\mathbf{k}^3]^{SO_3}$ , one easily deduces the Theorem for n=3.

The step of induction. Assume that Theorem is proven for n-2. We apply now the Theorem of local structure of Brion-Luna-Vust ([BLV]) to get a local version of the assertion of Theorem.

Denote by  $x_i^j = \overline{V}_i^j$  the *i*-th coordinate of  $v_j$ . Set  $f = x_n^1 \in \mathbf{k}[W]^U$ ,  $W_f = \{x \in W | f(x) \neq 0\}$ . Define a mapping:

$$\psi_f: W_f \to \mathfrak{o}(V)^*, \psi(x)(\xi) = \frac{(\xi f)(x)}{f(x)}.$$

Denote by  $P_f$  the stabilizer in SO(V) of the line  $\langle f \rangle$ . Clearly,  $P_f$  is a parabolic subgroup in SO(V) containing U(O) and  $\psi_f$  is  $P_f$ -equivariant.

Furthermore, we denote by  $e_i^j$  the *i*-th element of the above basis in the *j*-th copy of V,  $x = e_n^1$ ,  $\Sigma = \psi_f^{-1}(\psi_f(x))$ . Denote by L the stabilizer of  $\psi_f(x)$  in  $P_f$ . By [BLV], L is a Levi subgroup of  $P_f$  and the natural morphism

$$P_f *_L \Sigma \to W_f, (p, \sigma) \to p\sigma$$

is a  $P_f$ -equivariant isomorphism. Therefore we have:

$$\mathbf{k}[W]_f^{U(O)} \cong \mathbf{k}[W_f]^{U(O)} \cong \mathbf{k}[P_f *_L \Sigma]^{U(O)}.$$

Also,  $P_f = U(O)L$ . Hence,

$$\mathbf{k}[P_f *_L \Sigma]^{U(O)} \cong \mathbf{k}[\Sigma]^{U(O) \cap L} = \mathbf{k}[\Sigma]^{U(L)},$$

where U(L) is a maximal unipotent subgroup in L. Calculating, we have:

$$(L,\Sigma) \cong (SO_2 \times SO_{n-2}, \langle e_1^1, e_n^1 \rangle_f \times (l-1)V).$$

In other words.

$$\mathbf{k}[W]_{x_n^1}^{U(O)} \cong \mathbf{k}[x_1^1, x_n^1, x_1^2, x_n^2, \cdots, x_1^l, x_n^l]_{x_n^1} \otimes \mathbf{k}[(l-1)\mathbf{k}^{n-2}]^{U(O_{n-2})}.$$

The induction hypothesis yields the generators of  $\mathbf{k}[(l-1)\mathbf{k}^{n-2}]^{U(O_{n-2})}$ . Restricting the elements of  $\mathcal{M}$  to  $\Sigma$ , one can easily deduce:

(3) 
$$\mathbf{k}[W]_{x_{n}^{1}}^{U(O)} = A_{x_{n}^{1}}.$$

We return to our consideration of the isotypic components of O(V):  $\mathbf{k}[W]$ . Consider an irreducible representation  $\rho$  of O(V) and its restriction  $\rho'$  to SO(V). Here two cases occur:

- either  $\rho'$  is also irreducible,  $\rho' = R(\chi)$  for some  $\chi \in P$
- or else n = 2r,  $\rho' = R(\chi) + R(\tau(\chi))$ , where  $\tau$  is the automorphism of the system of simple roots of O(V) interchanging the r-1-th and the r-th roots.

The latter case is more simple: elements of minimal degree in the  $\rho$ -isotypic component are the elements of minimal degree in both  $I(\chi)$  and  $I(\tau(\chi))$  (clearly,  $n(\chi) = n(\tau(\chi))$ ) and  $m(\chi) = m(\tau(\chi))$ ). Hence, the above equality  $n(\chi) = m(\chi)$  implies the assertion for such an isotypic component.

Now consider the former case. Here for any  $\rho' = R(\chi)$  there exist two possibilities for  $\rho$ :  $R(\chi_+)$  and  $R(\chi_-) = R(\chi_+) \otimes \det$ , where det is the unique nontrivial character of O(V). Moreover, we define explicitly  $R(\chi_+)$  and  $R(\chi_-)$  as follows. Let  $\theta \in O(V) \setminus SO(V)$  be an element normalizing T(O) as follows. For n odd,  $\theta = -Id$ . For n even,  $\theta$  is the operator interchanging the r-th and the r+1-th elements of the above basis and acting trivially on the other basis elements. Note that in both cases  $\theta(\chi) = \chi$  for any  $\chi$ , if n is odd and for all  $\chi$  such that  $\tau(\chi) = \chi$ , if n is even. Now we define  $R(\chi_{\pm})$  by the condition:

$$R(\chi_{\pm})(\theta)(u_{\chi}) = \pm u_{\chi}$$

for the highest vector  $u_{\chi}$  of T(O) and U(O) in  $R(\chi)$ . For instance, if n is even,  $k \leq r-2$ , minor determinants of order k of  $\overline{V}$  generate  $R(\varphi_{k+})$  and minor determinants of order n-k generate  $R(\varphi_{k-})$ . Moreover, multiplying two highest vectors of  $\mathbf{k}[W]$ , we add their weights and multiply as usual their  $\pm$  subscripts. Thus we control the structure of the O(V)-module Z.

Define  $m(\chi_{\pm}), n(\chi_{\pm})$  as above. Then the condition (2) is equivalent to the equality  $m(\chi_{\pm}) = n(\chi_{\pm})$  for any  $\chi \in P$  ( $\tau$ -invariant for n even). For any  $\chi = \sum_{i=1}^q k_i \varphi_i, k_q > 0$ , set t = r - 1, if q = r, n = 2r and t = q otherwise. Then we have:

(4) 
$$\min\{n(\chi_+), n(\chi_-)\} = n(\chi), |n(\chi_+) - n(\chi_-)| = n - 2t.$$

Let g be a highest vector of  $\mathbf{k}[W]$  generating  $R(\chi_{-})$ . Then by (3), for some even j we have: $(x_n^1)^j g \in A$ . Since  $(x_n^1)^j g$  generates  $R((\chi + j\varphi_1)_{-})$ , we have:  $\deg g + j \geq n((\chi + j\varphi_1)_{-})$ . Clearly,  $n(\chi + j\varphi_1) = n(\chi) + j$  (see formulae (5), (6) below). Hence, (4) yields  $n((\chi + j\varphi_1)_{-}) = n(\chi_{-}) + j$ . Thus we have  $\deg g \geq n(\chi_{-})$  implying  $m(\chi_{-}) = n(\chi_{-})$ . The same is true for  $\chi_{+}$ . This completes the proof of Theorem for G = O(V).

Thus we reduced Theorem 1 to Lemma 1 and Lemma 2. Both are properties of degrees and weights of the given generators, and we consider case by case.

#### 3 Proof of Lemmas 1 and 2.

Proof of Lemma 1.

Recall that  $n(\chi)$  is the minimum of degree of the monomials in the elements of  $\mathcal{M}$  having weight  $\chi$ . Clearly, we should not involve the G-invariants in a monomial of minimal degree. Then for G = Sp(V), O(V) we have no much choice for such a monomial and we can write down formulae for  $n(\chi)$  as follows. Let  $\chi = k_1 \varphi_1 + \cdots + k_r \varphi_r$ .

For G = Sp(V), we have:  $n(\chi) = k_1 + 2k_2 + \cdots + rk_r$ . For G = O(V), n = 2r + 1,  $k_r$  is even for  $\chi \in P$ , and we have:

(5) 
$$n(\chi) = k_1 + 2k_2 + \dots + (r-1)k_{r-1} + r\frac{k_r}{2}.$$

For G = O(V), n = 2r,  $k_{r-1} + k_r$  is even for  $\chi \in P$ , and we have:

(6) 
$$n(\chi) = k_1 + 2k_2 + \dots + (r-2)k_{r-2} + r\frac{k_{r-1} + k_r}{2} - \min(k_r, k_{r-1}).$$

These formulae yield the assertion of Lemma.

Consider the case G = GL(V). The elements of  $\mathcal{M}$  with non-zero weights have the following weights endowed with degrees:

$$\alpha_i = \varphi_i, \deg \alpha_i = i, i = 1, \dots, n,$$

$$\beta_i = \varphi_i - \varphi_n, \deg \beta_i = n - j, j = 1, \dots, n - 1, \beta_n = -\varphi_n, \deg \beta_n = n.$$

For  $\chi = k_1 \varphi_1 + \dots + k_n \varphi_n$  consider the presentations of  $\chi$  as linear combinations of the above weights with positive integer coefficients. Define the degree of such a combination as the sum of degrees of the summands. We claim that there is a unique presentation of minimal degree.

For any  $j = 1, \dots, n-1$ , all the presentations of  $\chi$  contain  $k_j$  summands  $\alpha_j$  or  $\beta_j$ . Set  $r = [\frac{n}{2}]$ . The linear combination

$$\chi' = k_1 \alpha_1 + \dots + k_r \alpha_r + k_{r+1} \beta_{r+1} + \dots + k_{n-1} \beta_{n-1}$$

has the minimal degree among the linear combinations equal to  $\chi$  modulo  $\langle \varphi_n \rangle$ . If  $\chi' = \chi$ , then this presentation of  $\chi$  has the minimal degree and no presentation of the same degree exists. Otherwise, we can:

- (a) replace some  $\alpha_i$  by  $\beta_i$ , (b) add  $\beta_n$ ,
- (c) replace some  $\beta_i$  by  $\alpha_i$ , (d) add  $\alpha_n$ .

The steps (a),(b) decrease the n-th coordinate by 1, the steps (c),(d) increase it by 1. The increasing of the degree is: n for (b),(d), n-2i for (a), 2j-n for (c). If  $\chi' = \chi + t\varphi_n$ , then to obtain the minimal presentation, we apply t times (a) and (b), if t > 0, and we apply -t times (c) and (d), if t < 0. Clearly, there exists a unique sequence of steps giving  $\chi$  with the minimal possible degree. Therefore the presentation of  $\chi$  with the minimal degree is unique. Moreover, from its construction follows that the presentation of  $c\chi$  with the minimal degree is just the sum of c minimal presentations for  $\chi$ . This completes the proof.

Proof of Lemma 2.

Consider the case G = GL(V). Let  $\varepsilon_1, \dots, \varepsilon_n$  be the weights of T acting on V, a basis of the character lattice of T. let  $\chi_1, \dots, \chi_n$  be the dual basis. The fundamental weights are:  $\varphi_i = \varepsilon_1 + \dots + \varepsilon_i, i = 1, \dots, n$ . Furthermore,  $\mathcal{C}$  is given by the inequalities  $\chi_1 \geq \chi_2 \dots \geq \chi_n$ ,  $\Phi = \text{conv}(\pm \varepsilon_1, \dots, \pm \varepsilon_n)$ , and  $\widetilde{\Delta}$  is the convex hull of

$$\varepsilon_1, \frac{\varepsilon_1 + \varepsilon_2}{2}, \cdots, \frac{\varepsilon_1 + \cdots + \varepsilon_n}{n}, -\varepsilon_n, \frac{-\varepsilon_n - \varepsilon_{n-1}}{2}, \cdots, \frac{-\varepsilon_n - \cdots - \varepsilon_1}{n}.$$

For  $\chi \in \langle \varepsilon_1, \dots, \varepsilon_n \rangle_{\mathbf{O}}$ , set  $\alpha_i = \chi_i(\xi)$ . First assume

(7) 
$$\alpha_1 \ge \alpha_2 \ge \cdots \ge \alpha_n \ge 0, \alpha_1 + \cdots + \alpha_n \le 1.$$

Then we can rewrite:

$$\xi = (\alpha_1 - \alpha_2)\varphi_1 + (\alpha_2 - \alpha_3)\varphi_2 + \dots + (\alpha_{n-1} - \alpha_n)\varphi_{n-1} + \alpha_n\varphi_n.$$

So  $\xi$  is a linear combination of  $\frac{\varphi_i}{i}$ ,  $i = 1, \dots, n$  with non-negative coefficients. Now we sum the coefficients:

$$(\alpha_1 - \alpha_2) + 2(\alpha_2 - \alpha_3) + \dots + (n-1)(\alpha_{n-1} - \alpha_n) + n\alpha_n = \alpha_1 + \dots + \alpha_n \le 1.$$

Therefore we get:

$$\xi \in \operatorname{conv}(0, \varepsilon_1, \frac{\varepsilon_1 + \varepsilon_2}{2}, \cdots, \frac{\varepsilon_1 + \cdots + \varepsilon_n}{n}) \subseteq \widetilde{\Delta}.$$

Analogously, assuming

(8) 
$$0 \ge \alpha_1 \ge \dots \ge \alpha_n, \alpha_1 + \dots + \alpha_n \ge -1,$$

we obtain

$$\xi \in \text{conv}(0, -\varepsilon_n, \frac{-\varepsilon_n - \varepsilon_{n-1}}{2}, \dots, \frac{-\varepsilon_n - \dots - \varepsilon_1}{n}) \subseteq \widetilde{\Delta}.$$

Now assume  $\xi \in \Phi \cap \mathcal{C}$ . Then  $\xi \in \Phi$  implies  $|\alpha_1| + \cdots + |\alpha_n| \leq 1$ . If all the  $\alpha_i$  are of the same sign, then either (7) or (8) holds and we are done. Otherwise for some q < n we have

$$\alpha_1 \ge \dots \ge \alpha_q \ge 0 \ge \alpha_{q+1} \ge \dots \ge \alpha_n$$
.

Then set:

$$t = \sum_{i=1}^q \alpha_i \le 1, \xi_+ = \frac{\sum_{i=1}^q \alpha_i \varepsilon_i}{t}, \xi_- = \frac{\sum_{j=q+1}^n \alpha_j \varepsilon_j}{1-t}.$$

Clearly, (7) holds for  $\xi_+$  and (8) holds for  $\xi_-$ . Hence,  $\xi_+, \xi_- \in \widetilde{\Delta}$ , and  $\xi = t\xi_+ + (1-t)\xi_- \in [\xi_+, \xi_-] \subseteq \widetilde{\Delta}$ .

For G = Sp(V), O(V), we let  $\varepsilon_1, \dots, \varepsilon_r$  to be basic characters of T(G) and keep the notation of  $\chi_i$ -s. Then the fundamental weights are (see e.g. [OV]):

for 
$$G=Sp(V), \ \varphi_i=\varepsilon_1+\cdots+\varepsilon_i, \ \text{for} \ i=1,\cdots,r,$$
 for  $G=O(V), \ n=2r+1, \ \varphi_i=\varepsilon_1+\cdots+\varepsilon_i, \ \text{for} \ i=1,\cdots,r-1,$   $\varphi_r=\frac{1}{2}(\varepsilon_1+\cdots+\varepsilon_r),$  for  $G=O(V), \ n=2r, \ \varphi_i=\varepsilon_1+\cdots+\varepsilon_i, \ \text{for} \ i=1,\cdots,r-2,$   $\varphi_{r-1}=\frac{1}{2}(\varepsilon_1+\cdots+\varepsilon_r), \ \varphi_r=\frac{1}{2}(\varepsilon_1+\cdots+\varepsilon_{r-1}-\varepsilon_r).$  For the cases  $G=Sp(V), \ n=2r \ \text{or} \ G=SO(V), \ n=2r+1, \ \text{we have:} \ \mathcal{C}$  is

given by the inequalities  $\chi_1 \geq \chi_2 \cdots \geq \chi_m \geq 0$ ,

$$\Phi = \operatorname{conv}(\pm \varepsilon_1, \cdots, \pm \varepsilon_r), \widetilde{\Delta} = \operatorname{conv}(0, \varepsilon_1, \frac{\varepsilon_1 + \varepsilon_2}{2}, \cdots, \frac{\varepsilon_1 + \cdots + \varepsilon_r}{r}).$$

Therefore for  $\xi \in \mathcal{C} \cap \Phi$  the assumption (7) holds, hence  $\xi \in \Delta$ . For the case G = O(V), n = 2r, we have:  $\Phi = \text{conv}(\pm \varepsilon_1, \dots, \pm \varepsilon_r)$ ,

$$\widetilde{\Delta} = \operatorname{conv}(0, \varepsilon_1, \frac{\varepsilon_1 + \varepsilon_2}{2}, \cdots, \frac{\varepsilon_1 + \cdots + \varepsilon_r}{r}, \frac{\varepsilon_1 + \cdots + \varepsilon_{r-1} - \varepsilon_r}{r}).$$

If  $\xi \in \mathcal{C}$ , then we can write:

$$\xi = \alpha_1 \varepsilon_1 + \alpha_2 \frac{\varepsilon_1 + \varepsilon_2}{2} + \dots + \alpha_r \frac{\varepsilon_1 + \dots + \varepsilon_r}{r} + \beta \frac{\varepsilon_1 + \dots + \varepsilon_{r-1} - \varepsilon_r}{r},$$

where  $\alpha_1, \dots, \alpha_r, \beta \geq 0$ ,  $\alpha_r \beta = 0$ . Assume  $\xi \in \Phi$ . If  $\alpha_r = 0$ , then, taking into account the inequality  $\chi_1(\xi) + \cdots + \chi_{r-1}(\xi) - \chi_r(\xi) \leq 1$ , we obtain  $\alpha_1 + \cdots + \alpha_r = 1$  $\alpha_{r-1} + \beta \leq 1$ . Therefore  $\xi \in \widetilde{\Delta}$ . Similarly, we consider the case  $\beta = 0$ . This completes the proof of Lemma  $2.\Box$ 

#### Syzygies. 4

Since we found the generators of  $\mathbf{k}[W]^{U(G)}$ , a natural question is to describe their syzygies. This is a subject of the Second Fundamental Theorem of Invariant Theory for the linear group (U(G), V). In this section we present some results for G = GL(V). Of course, syzygies that we present are also syzygies for the orthogonal and symplectic cases, if the involved generators are.

Set U = U(GL) and denote by  $W_U$  the spectrum of  $\mathbf{k}[W]^U$ . Moreover, denote by  $\pi_{U,W}$  the quotient map  $\pi_{U,W}:W\to W_U$  corresponding to the inclusion  $\mathbf{k}[W]^U \subseteq \mathbf{k}[W]$ 

For any  $p, l \in \mathbb{N}, 1 \leq p \leq l$ , set  $L = \mathbf{k}^l \oplus \wedge^2 \mathbf{k}^l \oplus \cdots \oplus \wedge^p \mathbf{k}^l$ . Let  $\mathcal{F}_{p,l}$  denote the set of all  $(q_1, q_2, \dots, q_p)$  in L such that for  $i = 2, \dots, p$ , the *i*-vector  $q_i$  is decomposable, and  $Ann(q_{i-1}) \subseteq Ann(q_i)$ , where  $Ann(q) = \{x \in V | q \land x = 0\}$ .

The subset  $\mathcal{F}_{p,l}$  is not closed in L. In fact, assume  $(q_1, \dots, q_p) \in \mathcal{F}_{p,l}$  is such that  $q_2 \neq 0$ . Then for any  $t \in \mathbf{k}^*$  the collection  $(tq_1, q_2, \dots, q_p)$  also belongs to  $\mathcal{F}_{p,l}$ . But the limit  $(0, q_2, \dots, q_p)$  of such collections does not belong to  $\mathcal{F}_{p,l}$ . Denote by  $\overline{\mathcal{F}_{p,l}}$  the Zariski closure of  $\mathcal{F}_{p,l}$ ,

Note that the subset  $\mathcal{F}_{p,l}$  is stable under the natural action of the group  $GL_l$  on L. Therefore  $\mathcal{F}_{p,l}$  is acted upon by  $GL_l$ .

**Theorem 3** For W = lV, set  $p = \min\{l, n\}$ , q = n - p + 1. Consider the rows  $u_1, \dots, u_n$  of the matrix  $\overline{V}$  as the coordinates of some vectors in  $\mathbf{k}^l$ . Then the map  $W \to \overline{\mathcal{F}_{p,l}} \subseteq L$  taking a tuple of vectors to the element with coordinates

$$(u_n, u_{n-1} \wedge u_n, \cdots, u_q \wedge u_{q+1} \wedge \cdots \wedge u_n)$$

is the  $GL_L$ -equivariant quotient map  $\pi_{U,W}$  and its image is  $\mathcal{F}_{p,l}$ .

Proof. We only need to prove that the Plücker coordinates of the antisymmetric forms  $u_q \wedge \cdots \wedge u_n, \cdots, u_{n-1} \wedge u_n, u_n$  generate  $\mathbf{k}[W]^U$ . But these are just the lower minor determinants of  $\overline{V}$  and Theorem 1 implies Theorem 3. A different proof of both Theorems for this case is as follows. Let the maximal unipotent subgroup  $U' \subseteq GL_l$  consist of all the strictly upper triangular matrices, in the chosen basis of  $\mathbf{k}^l$ . It is well known (see e.g. [Kr, 3.7]) that  $\mathbf{k}[W]^{U \times U'}$  is generated by the left lower minor determinants of  $\overline{V}$ . Therefore the algebra A generated by all the lower minor determinants contains  $\mathbf{k}[W]^{U \times U'}$ . In other words,  $A^{U'} = (\mathbf{k}[W]^U)^{U'}$ . Since A is  $GL_l$ -stable, we obtain  $A = \mathbf{k}[W]^U$ .

Thus the syzygies of the set of lower minor determinants of  $\overline{V}$  are the generators of the ideal in  $\mathbf{k}[L]$  vanishing on  $\mathcal{F}_{p,l}$ . These are the Plücker relations saying that each  $q_i$  is decomposable, and the incidence relations saying  $Ann(q_i) \subseteq Ann(q_j)$  for any  $1 \le i < j \le p$ .

The syzygies can be written down explicitly. For instance, if  $i + j \leq p$ , then we construct a  $(i + j) \times l$  matrix of the last i rows and the last j rows of  $\overline{V}$ . Clearly, any minor determinant of order i + j of such a matrix is zero. This is a bilinear syzygy among the lower minor determinants of order i and j.

There is also a  $GL_l$ -equivariant description of the ideal of syzygies, in the form of [Br85]. For  $1 \leq i \leq j \leq p$ , let  $M_{i,j}$  be the  $GL_l$ -stable complementary subspace to the highest vector irreducible factor of  $(\wedge^i \mathbf{k}^l)^* \otimes (\wedge^j \mathbf{k}^l)^* \subseteq \mathbf{k}[L]$ , if i < j, or of  $S^2(\wedge^i \mathbf{k}^l)^* \subseteq \mathbf{k}[L]$ , if j = i. Let J be the ideal generated by  $M_{i,j}$ , for all  $1 \leq i \leq j \leq p$ .

**Lemma 4** The ideal in  $\mathbf{k}[L]$  vanishing on  $\mathcal{F}_{p,l}$  is J.

*Proof:* Clearly, we have:  $\overline{\mathcal{F}_{p,l}} = GL_l(L^{U'})$ . Then by the Theorem of [Br85, p.382], the set of zeros of J is  $\overline{\mathcal{F}_{p,l}}$ . Moreover, by the same theorem, J is radical. This completes the proof.  $\square$ 

Corollary 1 All the syzygies are of degree 2.

Clearly, for arbitrary l and m, similar Plücker and incidence relations hold for the left minor determinants of  $\overline{V^*}$ .

**Theorem 4** Suppose that l > 0, m > 0 and set  $W = lV + mV^*$ . Then the ideal of syzygies for the generators of  $\mathbf{k}[W]^U$  is generated by the Plücker and the incidence relations for the lower minor determinants of  $\overline{V}$  and for the left minor determinants of  $\overline{V}^*$  if and only if  $l + m \le n$ .

Proof: To prove the "if" part, it is sufficient to consider the case l+m=n. Recall that by Theorem 1, the generators of  $\mathbf{k}[W]^U$  are the lower minor determinants of  $\overline{V}$ , the left minor determinants of  $\overline{V}^*$ , and the elements of the matrix  $C=\overline{V^*V}$ . Let  $\sum_{\alpha}a_{\alpha}c^{\alpha}=0$  be a relation among the generators, where  $c^{\alpha}$  is a monomial in the  $C_i^j$ -s,  $a_{\alpha}$  is a polynomial in the minor determinants. The assertion of the Theorem amounts to prove that  $a_{\alpha}$  belongs to the ideal of syzygies, for any  $\alpha$ . This will be proven if we check for generic fibers  $F=\pi_{U,IV}^{-1}(\xi), \xi\in\mathcal{F}_{l,l}$  and  $F^*=\pi_{U,mV^*}^{-1}(\eta), \eta\in\mathcal{F}_{m,m}$  that the restrictions of the matrix elements of C to  $F\times F^*$  are algebraically independent. Fix a tuple of vectors in a generic fiber F such that  $\overline{V}$  has the form

$$\begin{pmatrix}
\leftarrow & l & \rightarrow \\
* & * & * \\
* & * & * \\
\hline
a_1 & 0 & 0 \\
* & \ddots & 0 \\
* & * & a_l
\end{pmatrix}$$

with  $a_1a_2\cdots a_l\neq 0$  and fix generic elements of the first m columns of  $\overline{V^*}$ . Then, varying the lm elements in the last l=n-m columns of  $\overline{V^*}$ , we do not change the minor determinants and we can obtain any  $m\times l$  matrix as C. Thus the "if" part is proven.

The "only if" part. Take l,m such that  $1 \leq l,m \leq n, l+m > n$  and set s = l+m-n, r = n-l+1. Denote by  $a_i^j, b_i^j, c_i^j$  the element in the i-th row and the j-th column of the matrix  $\overline{V^*}, \overline{V}$ , and C, respectively. Denote by  $\varepsilon^{a\cdots b}$  and  $\varepsilon_{a\cdots b}$  the determinant tensors. In this notation,  $\varepsilon^{i_1\cdots i_m}a_{i_1}^1\cdots a_{i_m}^m$  is the left minor determinant of order m of  $\overline{V^*}$  and  $\varepsilon_{j_1\cdots j_l}b_r^{j_1}\cdots b_n^{j_l}$  is the lower minor determinant of order l of  $\overline{V}$ . We claim that the following relation holds<sup>2</sup>:

(9) 
$$\varepsilon^{i_{1}\cdots i_{m}}a_{i_{1}}^{1}\cdots a_{i_{m}}^{m}\varepsilon_{j_{1}\cdots j_{l}}b_{r}^{j_{1}}\cdots b_{n}^{j_{l}} =$$

$$= \frac{1}{s!}\varepsilon^{i_{1}\cdots i_{m}}a_{i_{1}}^{1}\cdots a_{i_{r-1}}^{r-1}\varepsilon_{j_{1}\cdots j_{l}}b_{m+1}^{j_{s+1}}\cdots b_{n}^{j_{l}}c_{i_{r}}^{j_{1}}\cdots c_{i_{m}}^{j_{s}}.$$

To prove this formula, we rewrite the right hand side, using  $c_i^j = a_i^k b_k^j$ :

$$(10) \qquad \frac{1}{s!} \varepsilon^{i_1 \cdots i_m} a^1_{i_1} \cdots a^{r-1}_{i_{r-1}} a^{k_1}_{i_r} \cdots a^{k_s}_{i_m} \varepsilon_{j_1 \cdots j_l} b^{j_1}_{k_1} \cdots b^{j_s}_{k_s} b^{j_{s+1}}_{m+1} \cdots b^{j_l}_{n}.$$

<sup>&</sup>lt;sup>2</sup>This relation with m = n was indicated to us by E. B. Vinberg.

Let  $S(k_1, \dots, k_s)$  denote the sum of terms in formula (10) with fixed  $k_1, \dots, k_s$ . Clearly, if  $\{k_1, \dots, k_s\} \neq \{r, r+1, \dots, m\}$ , then  $S(k_1, \dots, k_s) = 0$ . Moreover, if  $\{k_1, \dots, k_s\} = \{r, \dots, m\}$ , then  $S(k_1, \dots, k_s)$  equals the left hand side of (9).

Therefore, the relation (9) holds. Clearly, the right hand side is a polynomial in the left minor determinants of order m-s of  $\overline{V}^*$ , the lower minor determinants of order l-s of  $\overline{V}$ , and the matrix elements of C. It is not hard to check that this relation among the generators of  $\mathbf{k}[W]^U$  can not be obtained from relations of smaller degrees.

**Remark.** Theorems 3, 4 yield an independent proof of Theorem 1 for the case  $l+m \leq n$ . Indeed, we prove in Theorem 4 that, in the case  $l+m \leq n$ , the syzygies among the elements of the set  $\mathcal{M}$  are generated by those for lV and those for  $mV^*$ . We did not use Theorem 1 for this. Hence, by Theorem 3 (that we also prove independently of Theorem 1),  $\operatorname{Spec} A \cong (lV)_U \times (mV^*)_U$ . Since for an action of an algebraic group H on a normal affine variety X, the algebra  $\mathbf{k}[X]^H$  is integrally closed,  $\operatorname{Spec} A$  is normal. Furthermore, as we did it for O(V), one can prove  $\mathbf{k}[W]_f^U = A_f$  for all linear U-invariants f. Then any  $g \in \mathbf{k}[W]^U$  gives rise to a rational function on  $\operatorname{Spec} A$ , regular outside the intersection of the divisors of these linear U-invariants. Since  $\operatorname{Spec} A$  is normal, we get  $g \in A$ .

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